



HubSpot App Digital IDV & AML

Make Anti Money Laundering Compliance Easy with Red Flag Alert

Red Flag Alert provides a comprehensive AML service that is low cost, evolves with regulations and allows you to prove your audit trail. Our data also conforms with GDPR and other data protection laws.



Version 1.01c

10th May 2024

WHAT OUR APP CAN DO

Our app enables you to conduct Digital ID Verification (IDV) and Anti-Money Laundering (AML) checks on your CRM contact records, and it facilitates the attachment of the completed check reports.

You have the option to monitor the check progress manually, or our scheduler can automate this process for you.

Check Types:

- **IDV** - IDV is an Identity Verification, this involves a 'selfie' and capturing a picture of photo ID (Driver's License or Passport).
2 Credits
- **IDV + AML** - This will issue an IDV check ('selfie' and photo ID) which will then take address information and verify this data against FCA approved data sources.
4 Credits
- **IDV + AML + PSAM** - This will issue an IDV check, perform an address verification, and conduct a PEPs (Politically Exposed Person) check, check International Sanctions' lists, and global Adverse Media.
5 Credits
- **IDV + PSAM** - This will issue an IDV check ('selfie' and photo ID), then conduct a PEPs (Politically Exposed Person) check, check International Sanctions' lists, and global Adverse Media.
3 Credits
- **AML** - This will take address information and verify this data against FCA approved data sources.
2 Credits
- **PEPS, Sanctions, Adverse Media** - This will conduct a PEPs (Politically Exposed Person) check, check International Sanctions' lists, and global Adverse Media.
2 Credits

Field Mapping

All fields utilized by the app can be mapped to any compatible field of your choice.

App Authorization

Each user will initially be required to authorize the app by using their username and password they use for the Red Flag Alert Portal login.

Credits

Credits are allocated at the Account or CRM level, rather than the user level. Whenever you initiate a new check, credits will be deducted.

Minimum CRM edition requirements.

Our App works on all CRM editions.

Installation

We will provide a link to install the App.

Uninstallation

Click Settings, click Integrations, click Connected Apps, and uninstall Red Flag Alert – Digital IDV & AML.

App Launcher

Open a contact record and click Digital IDV & AML button.

The screenshot displays a HubSpot contact record interface. At the top, there is a search bar labeled "Search HubSpot". Below it, a section titled "Digital IDV & AML (1)" is expanded. This section contains the following information: "Check Id: 31664", "Status: COMPLETED", "Result: PASSED", "Document: [link icon]", and "Update Date: 03-11-2023 (2 days)". A "View less" link is located at the bottom right of this section. Below the information, a button labeled "Digital IDV & AML" is highlighted with a red rounded rectangle. At the bottom of the section, it says "Powered by Red Flag Alert - Digital IDV & AML | About Us". Below this, another section titled "Companies (0)" is visible, with a "+ Add" link and the text "See the businesses or organizations associated with this record." On the left side of the interface, there is a sidebar with a "Collapse all" button and two activity cards. The top card shows a timestamp "5 Nov 2023 at 08:35 GMT" and a date "03-11-2023". The bottom card shows a timestamp "28 Oct 2023 at 14:06 GMT+1".

SETTINGS – FIELD MAPPING

All fields utilized by the app can be mapped to any compatible field of your choice.

Field Mapping Settings

Contact Field Mapping (14 of 14)

RFA Field Name	Description	Type	HubSpot API Name	HubSpot Field Name
Contact City	Contact City	string	city	Mailing City
Contact Country	Contact Country	string	country	Mailing Country
Contact Date of Birth	Contact Date of Birth	string	rfa_aml_date_of_birth	Date of Birth
Contact House Number/Name	Contact House Number/Name	string	rfa_aml_housenumber	House Number/Name
Contact Middle Name	Contact Middle Name	string	rfa_aml_middlename	Middle Name

5 rows | < < 1-5 of 14 > >|

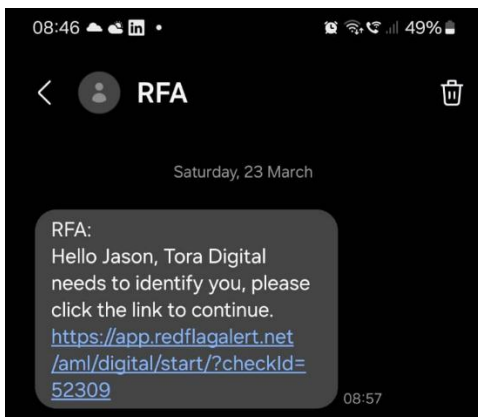
Update Field

Tab: Setting:

Message To Customer

You can customize the message for email and SMS to the customer. Adding <firstname> will be replaced by the customers first name. See example below:

“Hello <firstname>, Tora Digital needs to identify you, please click the link to continue.”



APP HOME SCREEN

Digital IDV & AML - Make Anti Money Laundering Compliance Easy with Red Flag Alert

Results: Jason Dahar CRM Statistics

AML Status COMPLETED	AML Results PASSED	Type PEPs, Sanctions, Adverse Media	Check Id 31678	Last Updated 05-11-2023 (0 days)
RFA User Email	Red Flag Alert Portal	Check Document Document		

✔ Data has been loaded successfully, and the app has been authorized by you.

New Update Resend Authorize App Close App

Button: New

Create a new Digital ID Verification (IDV) and Anti-Money Laundering (AML) check.

Button: Update

Update the Status and Results of the current Check. If the check has been completed the report will be attached.

Button: Resend

This will resend the IDV link to the Contact's email and mobile.

Button: Authorize App

The current user will be to enter the same username and password they use for the Red Flag Alert Portal.

Button: Override Results

The user can override the AML results and give a reason. This action will create a note associated with the record.

Button: Close App

Close the app.

Red Flag Alert - Portal

Direct URL link to the Red Flag Alert Portal.

Check Document – Document (PDF)

Once a check has been successfully completed, the check document is automatically created and attached to the contact record.

NEW CHECK

New Digital IDV & AML Check

Check Type: IDV + AML + PSAM

Check Description: This will issue and IDV check, perform an address verification, and conduct a PEPs (Politically Exposed Person) check, check International Sanctions' lists, and global Adverse Media. (5 credits)

Reference: check-reference

Name: Mr Male James Middle name Bond

Email: jamesbond 44123456789 01/01/1970

House number/name & Street: 10 Bond Land

City & State/Province: London State/Province

Postcode: SW1 United Kingdom

Save Contact Details Confirm Cancel

Button: Save Contact Details

Updates contact record.

Button: Confirm

Create a new Digital ID Verification (IDV) and Anti-Money Laundering (AML) check.

Button: Cancel

Cancel check.

TECHNICAL DETAILS

Contact Custom Fields generated by the App.

- rfa_aml_status
- rfa_aml_results
- rfa_aml_type
- rfa_aml_requestid
- rfa_aml_update_date
- rfa_aml_username
- rfa_aml_userid
- rfa_aml_middlename
- rfa_aml_housenumber
- rfa_aml_date_of_birth
- rfa_aml_doc_url
- rfa_aml_reference

The IDV & AML Scheduler

Every hour, our automated scheduler will refresh any pending check requests. When the status is marked as completed, it will seamlessly upload the corresponding check documents to your CRM.